



TRANSMITTAL FORM				Application No.	09/4	29,262	
(to be used for all correspondence after initial filing)			Filing Date	Octo	ber 29, 1999		
			First Named Inventor	Ho-J	Ho-Jin Kweon		
			Art Unit	1745			
				Examiner Name	Dove	e, Tracy Mae	
Total Number of	Pages in This Su	bmission	9	Attorney Docket Number	r 3364	P021	
ENCLOSURES (check all that apply)							
Fee Transmittal	Form		Drawing(s)			After Allowance Communication to Group	
Fee Attack	hed		Licensing-re	elated Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment / Re	esponse		Petition			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Fina Affidavits	nl /declaration(s)		Petition to C Provisional	convert a Application		Proprietary Information	
Extension of Tim	ne Request		Power of At Change of 0	torney, Revocation Correspondence Address		Status Letter	
Express Abandonment Request			Terminal D	isclaimer		Other Enclosure(s) (please identify below):	
Information Disclosure Statement			Request for	Refund		Copies of 2 cited references; eturn receipt postcard	
PTO/SB/08			CD, Numbe	er of CD(s)		ciam receipt postcard	
Certified Copy of Priority Document(s)							
Response to Missing Parts/ Incomplete Application							
	: Filing Fee	- R	emarks				
Decla	aration/POA						
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or	William 7	Thomas	Babbitt, Re	g. No. 39,591			
Individual name BLAKELY, SOKQLOFF,				TAYLOR & ZAI	MAN	LLP	
Signature Milliam Saft				rt			
Date	29/0	f					
CERTIFICATE OF MAILING/TRANSMISSION							
						e date shown below with sufficient ents, P.O. Box 1450, Alexandria,	
Typed or printed na		Calderon					
Signature Nedy Calder			Colder	m	Date	7/28/04	

FEE TRANSM	ITTAL		Complete if Known				
		_	Application Number	09/429,262			
for FY 200	<b>)</b> 4		Filing Date	October 29, 1999	99		
Effective 10/01/2004. Patent fees are subject	to annual revision	٦.	First Named Inventor	Ho-Jin Kweon			
Applicant claims small entity status. S	ee 37 CFR 1.	.27.	Examiner Name	Dove, Tracy Mae			
	1 (2)		Art Unit	1745			
TOTAL AMOUNT OF PAYMENT	(\$)	0.00	Attorney Docket No.	3364P021			

METHOD OF PAYMENT (check all that apply)				FE	EE CALCULATION (continued)
☐ Check ☐ Credit card ☐ Money ☐ Other ☑ None	3. ADDITIONAL FEES				
Deposit Account	Large	Entity	Sma	ill Entity	ty
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	- Confirmation Confident
Account Number 02-2666	1051	130	2051	65	Fee Description Fee Paid  Surcharge - late filing fee or oath
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification
The Commissioner is authorized to: ( check all that apply)	1812	2,520	1812	2,520	For filing a request for exparte reexamination
Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920	Requesting publication of SIR prior to     Examiner action
Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1,840	* Requesting publication of SIR after
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account					Examiner action
	1251	110	2251	55	Extension for reply within first month
FEE CALCULATION  1. BASIC FILING FEE	1252 1253	420 950	2252 2253	210 475	Extension for reply within second month  Extension for reply within third month
Large Entity   Small Entity	1254	1,480	2254	740	Extension for reply within fourth month
Fee Fee Fee Fee Description FeePaid	1255	2,010	2255	1,005	Extension for reply within fifth month
1001 770 2001 385 Utility filing fee	1404	330	2401	165	Notice of Appeal
1002 340 2002 170 Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal
1003 530 2003 265 Plant filing fee	1403	290	2403	145	Request for oral hearing
1004 770 2004 386 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding
1005 160 2005 80 Provisional filing fee	1452 1453	110 1,330	2452 2453	55 665	Petition to revive - unintentional
SUBTOTAL (1) (\$)	1501	1,330	2501	665	Utility issue fee (or reissue)
2. EXTRA CLAIM FEES Extra Fee from	1502	480	2502	240	Design issue fee
Claims below Fee-Paid	1503	640	2503	320	Plant issue fee
Total Claims	1460	130	2460	130	Petitions to the Commissioner
Claims 3 0 X 86.00  \$0.00	1807	50	1807	50	Prosessing fee under 37 CFR 1.17(q)
Multiple Dependent	1806	180	1806	180	Submission of Information Disclosure Stmt
Large Entity   Small Entity   Fee Fee Fee Fee Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)
Code (\$) Code (\$)	1809	770	1809	385	Filing a submission after final rejection
1202 18 2202 9 Claims in excess of 20	4910	770	2010	205	(37 CFR § 1.129(a))
1201 86 2201 43 Independent claims in excess of 3  1203 290 2203 145 Multiple Dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))
1204 86 2204 43 **Reissue independent claims over original	1801	770	2801	385	Request for Continued Examination (RCE)
patent	1802	900	1802	900	Request for expedited examination of a design application
1205 18 2205 9 **Reissue daims in excess of 20 and over original patent	Other fe	s (specify)			
SUBTOTAL (2) (\$) 0,00					
**or number previously paid, if greater, For Reissues, see below	* Reduced	by Basic Fi	ling Fee I	Paid	SUBTOTAL (3)
SUBMITTED BY			===	Complete (if applicable)	
Name (Print/Type) William Thomas Babbitt		gistratio omey/Age		3	39,591 Telephone (310) 207-3800
Signature William & Rathets	7				Date 7/18/04



Docket No.: 3364P021

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HO-JIN KWEON, ET AL.

Application No.: 09/429,262

Filed: October 29, 1999

For: **POSITIVE ACTIVE MATERIAL FOR** 

RECHARGEABLE LITHIUM BATTERY AND METHOD OF PREPARING SAME

Art Group: 1745

Examiner: Dove, Tracy Mae

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1- 3364P021

The references were cited in a Search Report dated June 8, 2004 (copy enclosed herewith) in a counterpart EPO application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

12400 Wilshire Boulevard, 7th Floor

Los Angeles, CA 90025 Telephone: (310) 207-3800

Date:  $\frac{7/29/04}{}$ 

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nedy Calderon

Date

-2- 3364P021

AUG O 2 2004

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known					
Application Number	09/429,262				
Filing Date	October 29, 1999				
First Named Inventor	Ho-Jin Kweon				
Art Unit	1745				
Examiner Name	Dove, Tracy Mae				
Attomey Docket Number	3364P021				

	U.S. PATENT DOCUMENTS						
-		Document Number			Pages, Columns, Lines, Where		
Examiner Initials*	Cite No.1	Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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	FOREIGN PATENT DOCUMENTS								
Examiner Cite		Foreign Patent Document			Pages, Columns, Lines,	76			
Initials*	No.¹	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	l <sub>e</sub>			
		JP 62-119867	06-01-1987	Nobuo, et al.					
<u> </u>		JP 09-293508	11-11-1997	Kiyoshi					
					,				
	L								

Examiner	Dat	te
Signature	Con	nsidered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.